

# **CONFLICT-OF-INTEREST CODE**

## **DEPARTMENT OF JUSTICE**

The Political Reform Act, Government Code section 81000 et seq., requires state and local government agencies to adopt and promulgate Conflict-of-Interest Codes. The Fair Political Practices Commission has adopted a regulation, Title 2, California Code of Regulations, section 18730, which contains the terms of a standard Conflict-of-Interest Code, which can be incorporated by reference, and which may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act after public notice and hearings. Therefore, the terms of Title 2, California Code of Regulations, section 18730, and any amendments to it duly adopted by the Fair Political Practices Commission, along with the attached appendices in which officials and employees are designated and disclosure categories are set forth, are hereby incorporated by reference and constitute the Conflict-of-Interest Code of the Department of Justice.

Pursuant to section 4(A) of the standard code, designated employees shall file Statements of Economic Interests with their agencies, which will make the statements available for public inspection and reproduction. (Gov. Code Section 81008.) Upon receipt of the statement of the Attorney General, the agency shall make and retain a copy and forward the original of this statement to the Fair Political Practices Commission. Statements of Economic Interests filed by the Attorney General and designated employees of the Department of Justice are maintained at 1300 I Street, Sacramento, CA 95814.

# CONFLICT-OF-INTEREST CODE

## DEPARTMENT OF JUSTICE

### APPENDIX A

<b>Designated Employees</b>	<b>Disclosure Categories</b>
<b>EXECUTIVE OFFICE AND PROGRAMS</b>	
Chief Deputy Attorneys General	1
Chief Assistant Attorneys General	1
Special Assistant Attorneys General	1
Special Assistants and Special Counsels	1
Senior Assistant Attorneys General	1
Division Directors	1
Deputy/Assistant Division Directors	1
Assistant Attorney General in charge of the Legislative Affairs Unit and the advocates who work under this position	1
Assistant Attorney General in charge of the Opinion Unit and the deputies who work under this position	1
Exempt Appointments or Career Executive Appointments equal to or above the position of Staff Services Manager I	1
Director and Assistant Director of the Office of Program Review and Audits	1
All auditors assigned to the Office of Program Review and Audits	9
Press Secretary	1
Deputy Attorneys General	1
Deputy Attorneys General III, IV	1
Supervising Deputy Attorneys General	1

<b>Designated Employees</b>	<b>Disclosure Categories</b>
Members of the California Law Enforcement Telecommunications System Advisory Committee	13
Department of Justice Administrators II and III	14
Staff Services Managers II and III	14
 <b>DIVISION OF CIVIL LAW</b>	
Senior Assistant Attorneys General	1
All auditors in the Business and Tax Section	1
Deputy Attorneys General, Deputy Attorneys General III, IV, and Supervising Deputy Attorneys General – -- in GOVERNMENT LAW SECTION -- in BUSINESS AND TAX SECTION	1
Deputy Attorneys General, Deputy Attorneys General III, IV, and Supervising Deputy Attorneys General – -- in LICENSING SECTION -- in HEALTH QUALITY ENFORCEMENT -- in HEALTH, EDUCATION AND WELFARE SECTION -- in EMPLOYMENT, REGULATION AND ADMINISTRATION SECTION	3
Deputy Attorneys General, Deputy Attorneys General III, IV, and Supervising Deputy Attorneys General – -- in TORT AND CONDEMNATION SECTION	5
 <b>DIVISION OF CRIMINAL LAW</b>	
Senior Assistant Attorneys General	1
Executive Secretary, Research Advisory Panel	7
Members, Research Advisory Panel	7
Deputy Attorneys General, Deputy Attorneys General III, IV, and Supervising Deputy Attorneys General in Bureau of Medi-Cal Fraud and Elder Abuse	8
All auditors in Bureau of Medi-Cal Fraud and Elder Abuse	8

**Designated Employees****Disclosure  
Categories**

Special Agents, Special Agent Supervisors, Special Agents-in-Charge, and Senior Special Agents-in-Charge in Bureau of Medi-Cal Fraud and Elder Abuse	8
Crime Prevention Specialists or Crime Prevention Program Supervisors who are regularly assigned tasks involving the development, review, or award of bids/contracts	15
Director of the Office of Native American Affairs	1

**DIVISION OF PUBLIC RIGHTS**

Senior Assistant Attorneys General	1
Registrar of Charitable Trusts	1
All auditors in the Registry of Charitable Trusts	1
All auditors in the Corporate Fraud Section	1
All auditors in the Consumer Law Section	2
Deputy Attorneys General, Deputy Attorneys General III, IV, and Supervising Deputy Attorneys General -- -- in ENVIRONMENT SECTION -- in ANTITRUST LAW SECTION -- in NATURAL RESOURCES LAW SECTION -- in CHARITABLE TRUSTS SECTION -- in CIVIL RIGHTS AND ENFORCEMENT SECTION -- in INDIAN AND GAMING LAW SECTION -- in CORPORATE FRAUD SECTION	1
Deputy Attorneys General, Deputy Attorneys General III, IV, and Supervising Deputy Attorneys General -- -- in LAND LAW SECTION	6
Deputy Attorneys General, Deputy Attorneys General III, IV, and Supervising Deputy Attorneys General -- -- in CONSUMER LAW SECTION	2

**Designated Employees****Disclosure  
Categories**

Deputy Attorneys General, Deputy Attorneys General III, IV, and  
Supervising Deputy Attorneys General -- 11

-- in TOBACCO LITIGATION AND ENFORCEMENT SECTION

**DIVISION OF ADMINISTRATIVE SUPPORT**

Accounting Administrators I, II, and III 9

Department of Justice Administrators I, II, and III 9

Staff Services Managers I, II, and III 9

Senior Administrative Analysts, Accounting Systems 9

Senior Information Systems Analyst (Spec.) 16

Criminalist Managers 9

Conference Coordinator 19

Principal Law Librarian 14

Legal Office Administrators I and II 14

Deputy Attorneys General, Deputy Attorneys General III, IV,  
and Supervising Deputy Attorneys General  
in the Office of Professional Development 9

**DIVISION OF CALIFORNIA JUSTICE INFORMATION SYSTEMS**

Bureau Chiefs 1

Assistant Bureau Chiefs 1

Data Processing Managers II, III, and IV 14

Department of Justice Administrators I, II, and III 14

Information Officers II 14

Latent Print Supervisors 14

Senior Information Systems Analyst Supervisors 14

Staff Information Systems Analyst Supervisors 14

<b>Designated Employees</b>	<b>Disclosure Categories</b>
Senior Programming Analyst Supervisors	14
System Software Specialist Supervisors II and III	14
Employees who are regularly assigned tasks involving the development, review, or award of bids/contracts for information technology services or products that are employed in the following positions, and whose names are listed on the Contract Decision-Making List maintained by the filing officer	
System Software Specialists I, II, and III (Tech)	4
Staff Information Systems Analysts (Spec)	4
Senior Information Systems Analysts (Spec)	4
Staff Programmer Analysts (Spec)	4

**DIVISION OF LAW ENFORCEMENT**

Bureau Chiefs	1
Assistant Bureau Chiefs	1
Department of Justice Administrators I, II, and III	14
Staff Services Managers I, II, and III	14
Criminalist Managers	14
Criminalist Supervisors	14
Special Agents-In-Charge	14
Senior Special Agents-In-Charge	14
Forensic Scientist Toxicologists IV	14
Investigative Auditor IV Supervisors	14
Latent Print Supervisors	14
Questioned Document Supervisors	14
Senior Industrial Hygienists	14
Training Officers II	14

**Designated Employees****Disclosure  
Categories****Disclosure Categories for Specified Employee Classifications within the Bureau of  
Firearms:**

Deputy Attorneys General, Deputy Attorneys General III, IV, and Supervising Deputy Attorneys General	17
Special Agents, Special Agent Supervisors, Special Agents-in-Charge, and Senior Special Agents-in-Charge	17
Field Representatives	17
Department of Justice Administrators I, II and III	17
Staff Services Managers I, II, and III	17

**Disclosure Categories for Specified Employee Classifications within the Bureau of  
Gambling Control:**

Department of Justice Administrators I, II, and III	18
Staff Services Managers I, II, and III	18
Special Agents-In-Charge	18
Research Managers I	18
Auditors	18
Associate Government Program Analysts, Tribal Licensing	18
Associate Government Program Analysts, Cardroom Licensing	18

**CONSULTANTS**

Consultant	10
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**SPECIAL TEAMS AND TASK FORCES**

Deputy Attorneys General, Deputy Attorneys General III, IV, Supervising Deputy Attorneys General, and Senior Assistant Attorneys General	12
Special Agents, Special Agent Supervisors, Special Agents-in-Charge, and Senior Special Agents-in-Charge	12
All auditors	12

## APPENDIX B

### **Disclosure Categories**

1. Designated employees in this category shall disclose all investments, interests in real property, income, including gifts, loans and travel payments, and business positions.
2. Designated employees in this category shall disclose all investments, income, including gifts, loans and travel payments, and business positions.
3. Designated employees in this category shall disclose investments, income, including gifts, loans and travel payments, and business positions to the extent that they know or have reason to know that the business entity, in which the designated employee holds the investment or business position, or the income, or source of income, is subject to regulation by or possesses a contract with any of the agencies, departments, boards, commissions or bureaus which are clients of the designated employee's section. A list of each section's clients will be maintained by the filing officer and provided to the designated employee along with the statement of economic interests. In addition, designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity that is of the type which has within the preceding two years contracted with the Department of Justice to provide services, supplies, materials, or machinery of any type to the employee's section or bureau, not including contracts which benefit the Department as a whole.
4. Designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity that is of the type which has within the preceding two years contracted with the Department of Justice or the State of California to provide services, supplies, materials or machinery to the Department of Justice involving the field of information technology.
5. Designated employees in this category shall disclose business positions, investments, and income, including gifts, loans and travel payments, to the extent that the business entity, in which the designated employee holds the investment or business position, or source of income engages in the general liability insurance business, or the income is derived in whole or part, from the general liability insurance business. Designated employees also shall disclose interests in real property. In addition, designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity that is of the type which has within the preceding two years contracted with the Department of Justice to provide services, supplies, materials, or machinery of any type to the employee's section or bureau, not including contracts which benefit the Department as a whole.

6. Designated employees in this category shall disclose interests in real property, investments, business positions, and income, including gifts, loans and travel payments, to the extent that the interest in real property, the business entity in which the designated employee holds the investment or business position, or the income, or source of income, is engaged in the production of oil and gas or the exploitation of geothermal resources or which they know or have reason to know is directly subject to regulation by or possesses a contract with any agencies, departments, boards, commissions or bureaus which are clients of the employee's section. A list of each section's clients will be maintained by the filing officer and provided to the designated employee along with the statement of economic interests. In addition, designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity that is of the type which has within the preceding two years contracted with the Department of Justice to provide services, supplies, materials, or machinery of any type to the employee's section or bureau, not including contracts which benefit the Department as a whole.
7. Designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity that is of the type which has within the preceding two years received approval from the Research Advisory Panel on drug-related research projects. In addition, designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity that is of the type which has within the preceding two years contracted with the Department of Justice to provide services, supplies, materials, or machinery of any type to the employee's section or bureau, not including contracts which benefit the Department as a whole.
8. Designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from providers of health-care-related services, goods, supplies, or merchandise as defined by California Code of Regulations, Title 22, and Section 51051. In addition, designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity that is of the type which has within the preceding two years contracted with the Department of Justice to provide services, supplies, materials, or machinery of any type to the employee's section or bureau, not including contracts which benefit the Department as a whole.
9. Designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity that is of the type which has within the preceding two years contracted with the Department of Justice or the State of California to provide services, supplies, materials, or machinery of any type to the Department of Justice.
10. Designated employees in this category shall disclose their interests pursuant to category 1, unless the Chief Deputy determines, in writing, that a more limited category satisfies

the disclosure requirements of the Political Reform Act. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The Chief Deputy's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict-of-interest code.

11. Designated employees in this category shall disclose investments in and income, including gifts, loans, and travel payments, from business-entities that engage in the manufacture, marketing, distribution or sale of tobacco products. In addition, designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity that is of the type which has within the preceding two years contracted with the Department of Justice to provide services, supplies, materials, or machinery of any type to the employee's section or bureau, not including contracts which benefit the Department as a whole.
12. Designated employees in this category shall disclose their interests pursuant to category 1, unless the Chief Deputy determines, in writing, that a more limited category satisfies the disclosure requirements of the Political Reform Act. Such written determination shall include a description of the employee's duties and, based upon that description, a statement of the extent of disclosure requirements. The Chief Deputy's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict-of-interest code.
13. Designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity that is of the type that provides services, supplies, materials or machinery involving the fields of telecommunications and information technology.
14. Designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity that is of the type which has within the preceding two years contracted with the Department of Justice or the State of California to provide services, supplies, materials, or machinery of any type to the employee's division.
15. Designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity that is of the type which has within the preceding two years contracted with the Department of Justice or the State of California to provide supplies, materials, or machinery involving audio/visual/digital imaging services, to the Department of Justice.
16. Designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity that is of the type which has within the preceding two years contracted with the Department of

Justice or the State of California to provide litigation support technology software or consulting services to the Department of Justice.

17. Designated employees in this category shall disclose income, including gifts, loans and travel payments, from investments in business-entities that engage in the manufacture, marketing, distribution or sale of firearms.

In addition, designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity that is of the type which has within the preceding two years contracted with the Department of Justice to provide services, supplies, materials, or machinery of any type to the employee's section or bureau, not including contracts which benefit the Department as a whole.

18. Designated employees in this category shall disclose all investments, income, including gifts, loans and travel payments, and business positions to the extent that the business entity, in which the designated employee holds the investment or business position, or source of income, is a licensee, registrant or anyone required to apply for a license, registration, finding of suitability, or any other approval required under the Gambling Control Act or Tribal State Gambling Compact or a designated agent of such applicant, licensee or registrant. Designated employees also shall disclose interests in real property. In addition, designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity that is of the type which has within the preceding two years contracted with the Department of Justice to provide services, supplies, materials, or machinery of any type to the employee's section or bureau, not including contracts which benefit the Department as a whole.

19. Designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity that is of the type which has within the preceding two years contracted with the Department of Justice to provide services, supplies, materials, or machinery in connection with the rental of conference facilities, meeting rooms, hotel rooms or the provision of food service.